A COMPARATIVE STUDY OF THREE FORMS OF ENTROPY ON TRADE VALUES BETWEEN KOREA AND FOUR COUNTRIES

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Abstract. Recently Wood-Jang[21] studied some applications of the Choquet integral in the trading relationship that Korea shares with selected trading partners. In this study, we consider the fuzzy entropy and the Shannon entropy, in addition we also define the Choquet entropy on a fuzzy set and develop four fuzzy sets which are related to that of the Choquet expected utility $CEU(u(a))$ for the trade values of utility u from an act a on S. Using this data set, we calculate three forms of entropy on four fuzzy sets as in the Choquet expcetes utility for the trade values that exist between Korea and four trading partner countries. Furthermore, we provide comparisons with three forms of entropy on four fuzzy sets which are representative of the four trading partner countries analyzed in this study.

1. INTRODUCTION

Many researchers have studied the Choquet integrals with respect to a fuzzy measure of fuzzy sets or interval-valued fuzzy sets and their applications in [2,4-13,19,20,26]. There are several examples of such analysis, these include student evaluations, similarity measures, the examination of the Choquet expected utility, and various other forms of inequalities. Recently, by using Choquet integrals with respect to a fuzzy measure, Wood-Jang[20,21] studied applications of them . These include some applications of the Choquet integral by firstly examining the imprecise market premium functions, and then more recently the trade relationship that Korea shares with selected trading partners. By using fuzzy sets and Choquet integrals in [17], studies utilized the concept of Choquet integral expected utility and its related areas(see[12,13,19,21,26]). Note that Biswas [2] investigated a student's evaluation on the space of fuzzy sets which include data information from the students' respective classes.

Our first motivation was to build on our previous efforts by considering three forms of fuzzy entropy in [1,3,14,15,18,24,25], the Shannon entropy in [3,21,24,25], and the Choquet entropy which we define in this study. From this point of view, we provide a comparative study of three

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forms of entropy on the level of trade that exists between Korea and four trading partners using data obtained from the WTO [23]. Our second motivation for conducting this study, was to provide a unique analysis of international trade flows using the Shannon entropy, fuzzy entropy, and Choquet entropy techniques.

In this study, we consider the fuzzy entropy and the Shannon entropy, in addition we provide a definition of the Choquet entropy on a fuzzy set and also develop four fuzzy sets which are related to the Choquet expected utility $CEU(u(a))$ for the trade values of utility u from an act a on S for the specified 2-digit HS product codes $(01 - 05)$ for animal product exports between Korea and selected trading partners for years 2010-2013 using date obtained from the WTO regional trade database[23]. Using this data set, we calculate three forms of entropy on four fuzzy sets as in the Choquet expected utility for the trade values that exist between Korea and four trading partner countries (New Zealand, USA, India, Turkey). Furthermore, we provide comparisons for three forms of entropy on four fuzzy sets for the four trading partner countries.

2. Three forms of entropy on fuzzy sets

Let X be a finite set of states of nature and $F(X)$ be the set of all fuzzy sets $A =$ $\{(x, m_A(x)) \mid x \in X, m_A \longrightarrow [0, 1] \text{ is a function}\}.$ Recall that m_A is called a membership function of A.

Definition 2.1. ([2,4-13,19,20,26])

(1) A real-valued function μ on X is called a fuzzy measure if it satisfies

(i)
$$
\mu(\emptyset) = 0, \ \mu(X) = 1,
$$

\n(ii) $A \subset B \Rightarrow \mu(A) \le \mu(B),$ (1)

where A, B are subsets of X .

(2) The Choquet integrals with respect to a fuzzy measure μ of $A \in F(X)$ is defined by

$$
(C)\int m_A d\mu = \int_0^1 \mu({x \in X | m_A(x) \ge \alpha}) d\alpha.
$$
 (2)

Remark 2.1. Let $X = \{x_1, x_2, \dots, x_n\}$ be a finite set. It is well known that the discrete Choquet integral with respect to a fuzzy measure μ is followings.

$$
(C)\int m_A d\mu = \sum_{i=1}^n m_A(x_{(i)}) \left[\mu(E^{(i)}) - \mu(E^{(i+1)}) \right],
$$
 (3)

where $\langle \cdot \rangle$ indicates a permutation on $\{1, 2, \cdots, n\}$ such that

$$
m_A(x_{(1)}) \le m_A(x_{(2)} \le \cdots \le m_A(x_{(n)},
$$
\n(4)

$$
E^{(i)} = \{ x \in X | m_A(x) \ge m_A(x^{(i)}) \} \text{ for } i = 1, 2, \cdots, n \text{ and let } E^{n+1} = \emptyset \text{ (see [4-7,9,10,11,13])}.
$$

By using the Choquet integral, we consider the Choquet expected utility $CEU(u(a))$ of utility $u(a)$ from an act a as follows. Note that in economics, the utility function is an important concept that measures preferences over a set of goods and services. Utility is measured in units called utils, which represent the welfare or satisfaction of a consumer from consuming a certain number of goods. Here, we assume that an act is a function from S to X , where S is a finite set of states of nature.

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Definition 2.2. ([5]) Let $u : X \longrightarrow [0, 1]$ be a utility and a be an act from S to X. The full version of the Choquet expected utility is mentioned above so we can used the CEU abbreviation here. with respect to a fuzzy measure μ of utility u from act a is defined by

$$
CEU(u(a)) = (C) \int u(a(s))d\mu(s).
$$
 (5)

Now, we introduce three forms of entropy on a fuzzy set as follows. Firstly, the Shannon entropy which was first developed by Shannon [24]. The mathematical communication theory has been utilized to measure the fuzziness in a fuzzy set or system [25]. According to Shannon, the information source is a person or a device that produces messages, using the average minimum amount of information.

Definition 2.3. ([3,22,24,25]) Let $A \in F(X)$ and $X = \{x_1, x_2, \dots, x_n\}$ be finite set. The Shannon entropy on A is defined by

$$
E_S(A) = -\sum_{i=1}^{n} m_A(x_i) \log m_A(x_i).
$$
 (6)

Secondly, the fuzzy entropy on a fuzzy set is used to express the mathematical values of the fuzziness of fuzzy sets. The concept of entropy, the basic subject of information theory and telecommunication, is a measure of fuzziness in fuzzy sets. Luca-Termini [18] note that Fuzzy entropy $D(A)$ can be represented by the Shannon function as follows

$$
D(A) = k \sum_{i=1}^{n} s(m_A(x_i)),
$$

where $s(x) = -x \log x - (1-x) \log(1-x)$ is the Shannon function. When we put $X =$ ${x_1, x_2, \dots, x_n}$, we consider the followin fuzzy entropy $E_F(A)$ which is the fuzzy entropy $D(A)$ with $k=-\frac{1}{n}$.

Definition 2.4. ([1,3,14,15,18,24,25]) Let $A \in F(X)$ and $X = \{x_1, x_2, \dots, x_n\}$ be finite set. The fuzzy entropy on A is defined by

$$
E_F(A) = -\frac{1}{n} \sum_{i=1}^n [m_A(x_i) \log m_A(x_i) + (1 - m_A(x_i)) \log (1 - m_A(x_i))]. \tag{7}
$$

Thirdly, we define the Choquet entropy on a fuzzy set and compare the Choquet entropy to another two forms of entropy, this helps to demonstrate the role of the Choquet entropy through the trading relationship that exists between Korea and four of its trading partners in the next section.

Definition 2.5. Let $A \in F(X)$ and X be a set. The fuzzy entropy on A is defined by

$$
E_C(A) = 1 - (C) \int m_A(x) d\mu(x).
$$
 (8)

Note that if $X = \{x_1, x_2, \dots, x_n\}$ is finite set, then we get

$$
E_C(A) = 1 - \sum_{i=1}^{n} m_A(x_{(i)}) [\mu(A_{(i)}) - \mu(A_{(i+1)})],
$$
\n(9)

where (\cdot) indicates a permutation on $\{1, 2, \dots, n\}$ such that

$$
m_A(x_{(1)}) \le m_A(x_{(2)}) \le \dots \le m_A(x_{(n)})
$$
\n(10)

and $A_{(i)} = i, 2, \dots, n$ and $A_{(n+1)} = \emptyset$.

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3. Constructions of four fuzzy sets

In this section, we create four fuzzy sets, the USA-fuzzy set U , the NZ-fuzzy set N , INfuzzy set I, TR-fuzzy set T for the CEU of the trade values that exist between Korea and four countries. Now, we consider the CEU of a utility on a set of trade values (in USD) that represent the trading relationship that Korea shares with selected trading partners(i.e. Korea-USA, Korea-New Zealand, Korea-India, and Korea-Turkey). In [21], we examined these respective trading relationships by incorporating a clearly defined set of Harmonized System (HS) product code categories (i.e. HS Codes $i = 1, 2, 3, 4, 5$) for each individual year that is under review (i.e. 2010, 2011, 2012, 2013). We note that the product code definitions have been provided by the UN Comtrade's online database and the relevant categories are defined as follows(see [21]):

1. Live animals; animal products.

- 2. Meat and edible meat offal.
- 3. Fish and crustaceans, mollusks and other aquatic invertebrates.

4. Dairy produce; birds' eggs; natural honey; edible products of animal origin, not elsewhere specified or included.

5. Products of animal origin, not elsewhere specified or included.

Denote that HSPC=HS Product Code, $s=Year$, $a(s)=Trace$ Value, $u(a(s))$ =the utility of $a(s)$, $CEU(u(a(s))=$ the Choquet Expected Utility of $u(a)$ from a. By using the trade values in tables A_1 through to A_4 in the Appendix, we calculate the Choquet expected utility $CEU(u(a))$ for the set of trade values (in USD) that represent Korea's trading relationship with a particular country for years 2010, 2011, 2012, and 2013. Let $s_1 = 2010$, $s_2 = 2011$, $s_3 =$ 2012, $s_4 = 2013$. Let $X = \{1, 2, 3, 4, 5\}$. Note that (·) indicates a permutation on $\{1, 2, 3, 4, 5\}$, such that

$$
CEU(u(a_{(1)})) \le CEU(u(a_{(2)}) \le \dots \le CEU(u(a_{(5)}). \tag{11}
$$

Then we denote that $a_{(i)} = a(s_{(i)})$ for $i = 1, 2, 3, 4, 5$ satisfy the equation (11). We define a fuzzy measure μ on X as follows.

$$
\mu(E^{(4)}) = \mu_1(\{a_{(4)}\}) = 0.1, \quad \mu(E^{(3)}) = \mu_1(\{a_{(3)}, a_{(4)}\}) = 0.3, \n\mu(E^{(2)}) = \mu_1(\{a_{(2)}, a_{(3)}, a_{(4)}\}) = 0.6, \quad \mu(E^{(1)}) = \mu_1(\{a_{(4)}, a_{(3)}, a_{(2)}, a_{(1)}\}) = 1, \quad (12)
$$

and if $a(s)$ is the trade value of s and $u(a) = \sqrt{\frac{a}{100141401}}$, then by using Definition 2.3, we obtain the following $CEU(u(a))$ as follows:

$$
CEU(u(a)) = \sum_{i=1}^{4} u(a(s^{(i)})) \left(\mu(E^{(i)}) - (\mu(E^{(i+1)})) \right)
$$

= 0.4u(a(s⁽¹⁾)) + 0.3u(a(s⁽²⁾)) + 0.2u(a(s⁽³⁾)) + 0.1u(a(s⁽⁴⁾)). (13)

By using (5), we obtained the four tables $A_1 \sim A_4$ (see [17]). If we take $m_Y(i) = CEU(u(a(s_i)))$, then we develop four fuzzy sets $Y : \{1, 2, 3, 4, 5\} \rightarrow [0, 1]$ by $Y = \{(i, m_X(i)) | i = 1, 2, 3, 4, 5\}.$ Here, Y is one of USA-fuzzy set U , NZ-fuzzy set N , IN-fuzzy set I , and TR-fuzzy set T defined by

 $U = \{(1, 0.05664), (2, 0.04483), (3, 0.93879), (4, 0.20821), (5, 0.04858)\}\$ (14)

$$
N = \{(1, 0.00533), (2, 0.00000), (3, 0.78873), (4, 0.15976), (5, 0.01557)\}\
$$
(15)

$$
I = \{(1, 0.00154), (2, 0.00000), (3, 0.04570), (4, 0.00000), (5, 0.00000)\}\
$$
 (16)

$$
T = \{(1, 0.00264), (2, 0.00887), (3, 0.00368), (4, 0.00470), (5, 0.00000)\}\
$$
 (17)

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4. Calculate three forms of entropy on four fuzzy sets

In this section, we calculate three forms of entropy on four fuzzy sets U, N, I, T . Note that $0\log 0 = 1$. Firstly, from (6) and (14)-(17), we get Shannon entroys $E_S(U), E_S(N), E_S(T)$ on four fuzzy sets as follows.

$$
E_S(U) = -(0.05664 \log 0.05664 + 0.04483 \log 0.04483 + 0.93879 \log 0.93879
$$

+ 0.20821 \log 0.20821 + 0.04858 \log 0.04858) = 0.83476, (18)

$$
E_S(N) = -(0.00533 \log 0.00533 + 0.00000 \log 0.00000 + 0.78873 \log 0.78878
$$

+ 0.15978 log 0.15978 + 0.01557 log 0.01557) = 0.57291, (19)

$$
E_S(I) = -(0.00154 \log 0.00154 + 0.00000 \log 0.00000 + 0.04570 \log 0.04570
$$

+ 0.00000 \log 0.00000 + 0.00000 \log 0.00000) = 0.15099, (20)

$$
E_S(T) = -(0.00264 \log 0.00264 + 0.00887 \log 0.00887 + 0.00368 \log 0.00368
$$

+ 0.00470 \log 0.00470 + 0.00000 \log 0.00000) = 0.10340. (21)

Secondly, from (7) and (14)-(17), we get fuzzy entropys $E_F(U), E_F(N), E_F(I), E_F(T)$ on four fuzzy sets as follows.

$$
E_F(U) = -\frac{1}{5}(0.05664 \log 0.05664 + (1 - 0.05664) \log(1 - 0.05664)
$$

+ 0.04483 \log 0.04483 + (1 - 0.04483) \log(1 - 0.04483)
+ 0.93879 \log 0.93879 + (1 - 0.93879) \log(1 - 0.93879)
+ 0.20821 \log 0.20821 + (1 - 0.20821) \log(1 - 0.20821)
+ 0.04858 \log 0.04858 + (1 - 0.04858) \log(1 - 0.04858)) = 0.26736,

$$
E_F(N) = -\frac{1}{5}(0.00533 \log 0.00533 + (1 - 0.00533) \log(1 - 0.00533)
$$

+ 0.00000 \log 0.00000 + (1 - 0.00000) \log(1 - 0.00000)
+ 0.78873 \log 0.78878 + (1 - 0.78873) \log(1 - 0.78878) (23)

$$
+ 0.15978 \log 0.15978 + (1 - 0.15978) \log(1 - 0.15978)
$$

$$
+ 0.01557 \log 0.01557 + (1 - 0.01557) \log(1 - 0.01557)) = 0.21368,
$$

$$
E_F(I) = -\frac{1}{5}(0.00154 \log 0.00154 + (1 - 0.00154) \log(1 - 0.00154)
$$

+ 0.00000 log 0.00000 + (1 - 0.00000) log(1 - 0.00000)
+ 0.04570 log 0.04570 + (1 - 0.04570) log(1 - 0.04570)
+ 0.00000 log 0.00000 + (1 - 0.00000) log(1 - 0.00000)
+ 0.00000 log 0.00000 + (1 - 0.00000) log(1 - 0.00000)) = 0.03834,

$$
E_F(T) = -\frac{1}{5}(0.00264 \log 0.00264 + (1 - 0.00264) \log(1 - 0.00264)
$$

+ 0.00887 log 0.00887 + (1 - 0.00887) log(1 - 0.00887)
+ 0.00368 log 0.00368 + (1 - 0.00368) log(1 - 0.00368)
+ 0.00470 log 0.00470 + (1 - 0.00470) log(1 - 0.00470)
+ 0.00000 log 0.00000 + (1 - 0.00000) log(1 - 0.00000)) = 0.01447.

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Thirdly, from (9) and (14)-(17), we get Choquet entropys $E_C(U), E_C(N), E_C(I), E_C(T)$ on four fuzzy sets as follows.

$$
E_C(U) = 1 - (0.04483 \times 0.1 + 0.04858 \times 0.1 + 0.05664 \times 0.6
$$

+ 0.20821 \times 0.1 + 0.93879 \times 0.1) = 0.536119, (26)

$$
E_C(N) = 1 - (0.00000 \times 0.1 + 0.00533 \times 0.1 + 0.01557 \times 0.6
$$
\n(27)

$$
+0.15976 \times 0.1 + 0.78873 \times 0.1) = 0.895276,
$$

$$
E_C(I) = 1 - (0.00154 \log 0.00154 + 0.00000 \log 0.00000 + 0.04570 \log 0.04570
$$
\n(28)

$$
+0.00000 \log 0.00000 + 0.00000 \log 0.00000) = 0.15099,
$$

$$
E_C(T) = 1 - (0.00264 \log 0.00264 + 0.00887 \log 0.00887 + 0.00368 \log 0.00368
$$
\n
$$
(29)
$$

$$
+ 0.00470 \log 0.00470 + 0.00000 \log 0.00000) = 0.10340.
$$

Through investigations (18)-(29), we can compare the three forms of entropy on the four fuzzy sets U, N, I, T as follows.

(1) In this study, we found that the Shannon entropy had a range [0, 1] and the fuzzy entropy had a range $[0, 0.5]$ and the Choquet entropy had a range $[0.5, 1]$.

(2) The Shannon entropy E_S and the fuzzy entropy E_F have the same order of four countries in the trading relationship that Korea shares with selected trading partner as follows.

$$
E_S(U) \ge E_S(N) \ge E_S(I) \ge E_S(T),\tag{30}
$$

and

$$
E_F(U) \ge E_F(N) \ge E_F(I) \ge E_F(T). \tag{31}
$$

(3) The Choquet entropy has the following order of the trading relationship that Korea shares with selected trading partner.

$$
E_C(U) \le E_F(N) \le E_F(I) \le E_F(T). \tag{32}
$$

From (2) and (3), we observe the order in which Choquet entropy was investigated is the opposite of the order in the other two forms.

5. Conclusions

According to the data analyzed in experiments (27) - (29), we can see that the Shannon entropy and the Fuzzy entropy contain the same order of results. However, the results for the Choquet entropy were very different to that of the Fuzzy and Shannon entropies, as the opposite order of results being obtained. This finding also suggests that the results for the Choquet entropy exhibit a much higher level of ambiguity across the four countries (New Zealand, India, the US, and Turkey) analyzed, particular those countries that have a smaller trading relationship with Korea.

From an economic perspective, the Shannon and Fuzzy entropies have provided scholars with a means of better understanding the scope and magnitude of the potential relationship that exists between particular entities, in this case the trading relationship between Korea and four trading partners. In an era where the development of stronger bilateral economic ties through trade, such an analysis provides a unique but timely portrayal.

Furthermore, the ambiguities present in the Choquet entropy findings highlight the important need to carry out additional research. Such efforts would help to establish a clearer understanding on the types of trading relationships present between Korea and the four countries selected for this study.

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6. Appendix

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Table A1: The CEU for animal product exports between Korea and the USA for years 2010-2013

Table A2: The CEU for animal product exports between Korea and New Zealand for years 2010-2013

| HSPC | $\mathcal{S}_{\mathcal{S}}$ | $a(s)$ (USD) | u(a(s)) | $CEU_{(i, NZ)}(u(a))$ | |
|----------------|-----------------------------|------------------------------------|---------|-----------------------|--|
| $\mathbf{1}$ | s ₁ | $6650 = a(s^{(4)})$ | 0.00815 | 0.00533 | |
| | s_2 | $4497 = a(s^{(3)})$ | 0.00670 | | |
| | s_3 | $1589 = a(s^{(1)}$ | 0.00398 | | |
| | s_4 | $2779 = a(s^{(2)})$ | 0.00527 | | |
| $\overline{2}$ | s ₁ | $0 = a(s^{(1)})$ | 0.00000 | 0.00000 | |
| | s_2 | $0 = a(s^{(2)})$ | 0.00000 | | |
| | s_3 | $0 = a(s^{(3)})$ | 0.00000 | | |
| | s_4 | $0 = a(s^{(4)})$ | 0.00000 | | |
| 3 | S ₁ | $70759196 = a(s^{(2)})$ | 0.84059 | | |
| | s_2 | $91263506 = a(s(4))$ | 0.95464 | 0.78873 | |
| | s_3 | $70763937 = a\overline{(s^{(3)})}$ | 0.84062 | | |
| | s_4 | $46632301 = a(s^{(1)})$ | 0.68240 | | |
| $\overline{4}$ | s ₁ | $165773 = a(s^{(3)})$ | 0.04069 | 0.15976 | |
| | s_2 | $113751 = a(s^{(1)})$ | 0.03370 | | |
| | s_3 | $148756 = a(s^{(2)})$ | 0.03854 | | |
| | s_4 | $277350 = a(s^{(4)})$ | 0.05263 | | |
| 5 | s ₁ | $0 = a(s^{(1)})$ | 0.00000 | | |
| | s_2 | $0 = a(\overline{s^{(2)}})$ | 0.00000 | 0.01557 | |
| | s_3 | $218022 = a(s^{(3)})$ | 0.04666 | | |
| | $\sqrt{s_4}$ | $393025 = a(s^{(4)})$ | 0.00265 | | |

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Table A3: the CEU for Animal product expert between Korea and India for years 2010-2013

Table A4: The CEU for animal product exports between Korea and Turkey for years 2010-2013

| HSPC | \boldsymbol{s} | $a(s)$ (USD) | u(a(s)) | CEU(u(a)) | |
|----------------|------------------|-------------------------------|---------|-----------|--|
| $\mathbf{1}$ | $\sqrt{s_{1}}$ | $0 = a(s^{(1)})$ | 0.00000 | | |
| | s_2 | $6900 = a(s(4))$ | 0.00830 | 0.00154 | |
| | s_3 | $\overline{150} = a(s^{(2)})$ | 0.00122 | | |
| | \mathcal{S}_4 | $\overline{300} = a(s^{(3)})$ | 0.00173 | | |
| $\overline{2}$ | s ₁ | $0 = a(s^{(1))}$ | 0.00000 | | |
| | s_2 | $0 = a(s^{(2)})$ | 0.00000 | 0.00000 | |
| | s_3 | $0 = a(s^{(3)})$ | 0.00000 | | |
| | s_4 | $0 = a(s^{(4)})$ | 0.00000 | | |
| 3 | s_1 | $0 = \overline{a(s^{(1)})}$ | 0.00000 | | |
| | s_2 | $672952 = a(s^{(3)})$ | 0.08198 | 0.04570 | |
| | s_3 | $2532837 = a(s^{(4)})$ | 0.15904 | | |
| | s_4 | $199874 = a(s^{(2)})$ | 0.04468 | | |
| 4 | s_1 | $0 = a(s^{(1)})$ | 0.00000 | | |
| | s_2 | $0 = a(s^{(2)})$ | 0.00000 | 0.00000 | |
| | s_3 | $0 = a(s^{(3)})$ | 0.00000 | | |
| | s_4 | $0 = a(s^{(4)})$ | 0.00000 | | |
| $\bf 5$ | $\sqrt{s_{1}}$ | $0 = a(s^{(1)})$ | 0.00000 | | |
| | s_2 | $0 = a(s^{(2)})$ | 0.00000 | 0.00000 | |
| | s_3 | $0 = a(s^{(3)})$ | 0.00000 | | |
| | s_4 | $0 = \overline{a(s^{(4)})}$ | 0.00000 | | |